

# Self-determination and Practitioner Adherence: Closing the Treatment Gap

Geoffrey Williams MD, PhD

University of Rochester

Innovative Adherence Research

Meeting 4/2/02

# Outline

- Defining the treatment gap
  - Clinical Preventive Services
  - Tobacco dependence
- Self-determination & adherence
  - Practitioner adherence
  - Patient adherence
- Implications of SDT for adherence
  - Medical ethics and “autonomous adherence”
  - Redefining adherence may increase it

# Treatment Gap

- The “treatment gap” represents the difference between what we know improves health and what health related behaviors patients and practitioners do.
  - <50% of adult smokers receive treatment
  - 25% of women >50 report no mammogram 2 y
  - 41% adolescents not vaccinated by 13 yo

# Clinical Preventive Services Priorities

- US Prev. Services Task Force have found 50 services effectively improve health
- Adult patients have average of 12 risk factors requiring 24 preventive services
- Knowledge that an intervention is effective isn't sufficient to set priorities for clinicians. They need to know what services will provide the greatest benefit

Coffield, AJPM, 2001

# Clinically Preventable Burden (CPB) & Cost Effectiveness (CE)

- CPB is the product of the burden of disease targeted by the service and its effectiveness and is represented as Quality Adjusted Life Years (QALY)
  - Proportion of disease and injury prevented if delivered to 100% of the target population
- $CE = (\text{costs of prevention} - \text{costs averted})$  divided by the QALY's saved expressed in 1995 dollars

Coffield, AJPM, 2001

# CPB and CE

| CPB<br>QALYs Saved | Score | CE<br>Cost(\$)/QALY saved |
|--------------------|-------|---------------------------|
| 325,000-2,600,000  | 5     | Cost Saving               |
| 65,000-185,000     | 4     | Cost saving-12,000        |
| 33,000-55,000      | 3     | 12,000-18,000             |
| 19,000-27,000      | 2     | 19,000-35,000             |
| 100-12,000         | 1     | 43,000-2,000,000          |

Coffield, AJPM, 2001

# Priorities Among Services

| Service                      | CPB | CE | Total |
|------------------------------|-----|----|-------|
| Vaccinate children           | 5   | 5  | 10    |
| Tobacco cessation counseling | 5   | 4  | 9*    |
| Screen for colorectal cancer | 5   | 3  | 8*    |
| Cholesterol screening        | 5   | 2  | 7     |
| Problem Drinking Adults      | 4   | 3  | 7*    |
| Assess physical activity     | 3   | 1  | 4     |

Coffield, AJPM, 2001

# Clinical Priorities

- Vaccinating children and health providing tobacco dependence counseling for patients are the top priorities for practitioners.

Coffield, AJPM, 2001

- In 1995, only 67% of smokers were identified, and only 21% were provided cessation counseling. Thus, practitioner behavior contributes to this gap.

PHS, 2000



# Motivation & Health Behavior

- Motivation is human energy (psychological energy) directed at a particular goal
- Linking theories of behavior to health behaviors and outcomes is important in moving forward to close the treatment gap
- Self-determination theory has been used to study patient and physician adherence behaviors

# Self-Determination Theory

- Autonomy                      Autonomy support
- Competence                      Competence support
- Relatedness                      Relationship support
- Humans are innately motivated for health

Deci & Ryan, 1985

# Self-Determination Theory

- Autonomous motivation involves people feeling fully willing to regulate their behavior
- Controlled motivation involves people feeling pressured by others or by themselves.
- Internalization is an inherent, proactive process by which controlled motivations are transformed into autonomous motivation

Deci & Ryan, 1985

# Motivating Tobacco Dependence Counseling

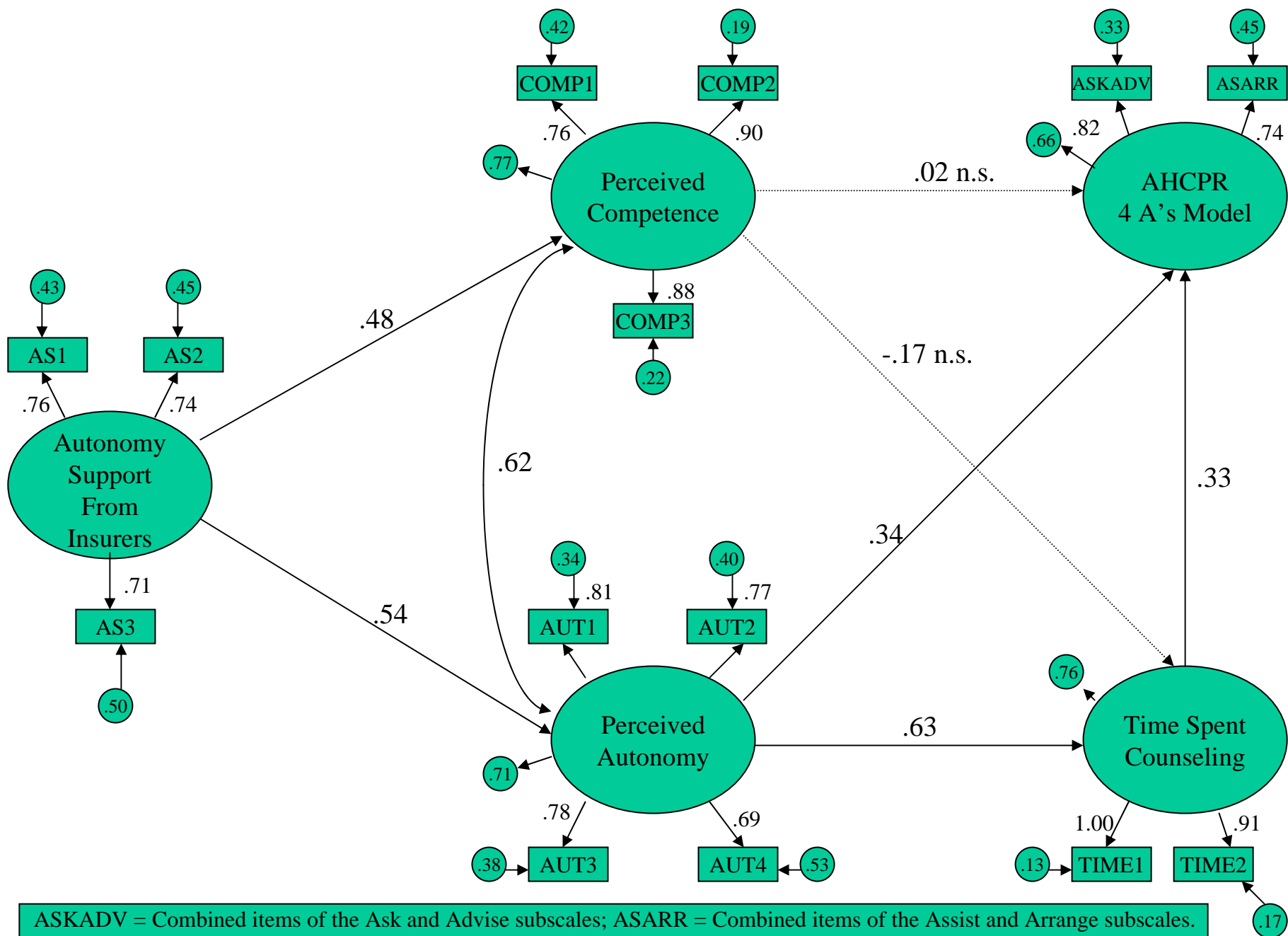
- HCP's (N=220) completed self-reports of their tobacco dependence counseling (4A's), autonomous and competence motivations, and autonomy support from insurers and instructors, before and 3 months after attending a tobacco dependence training workshop.

Williams, SRNT, 2002

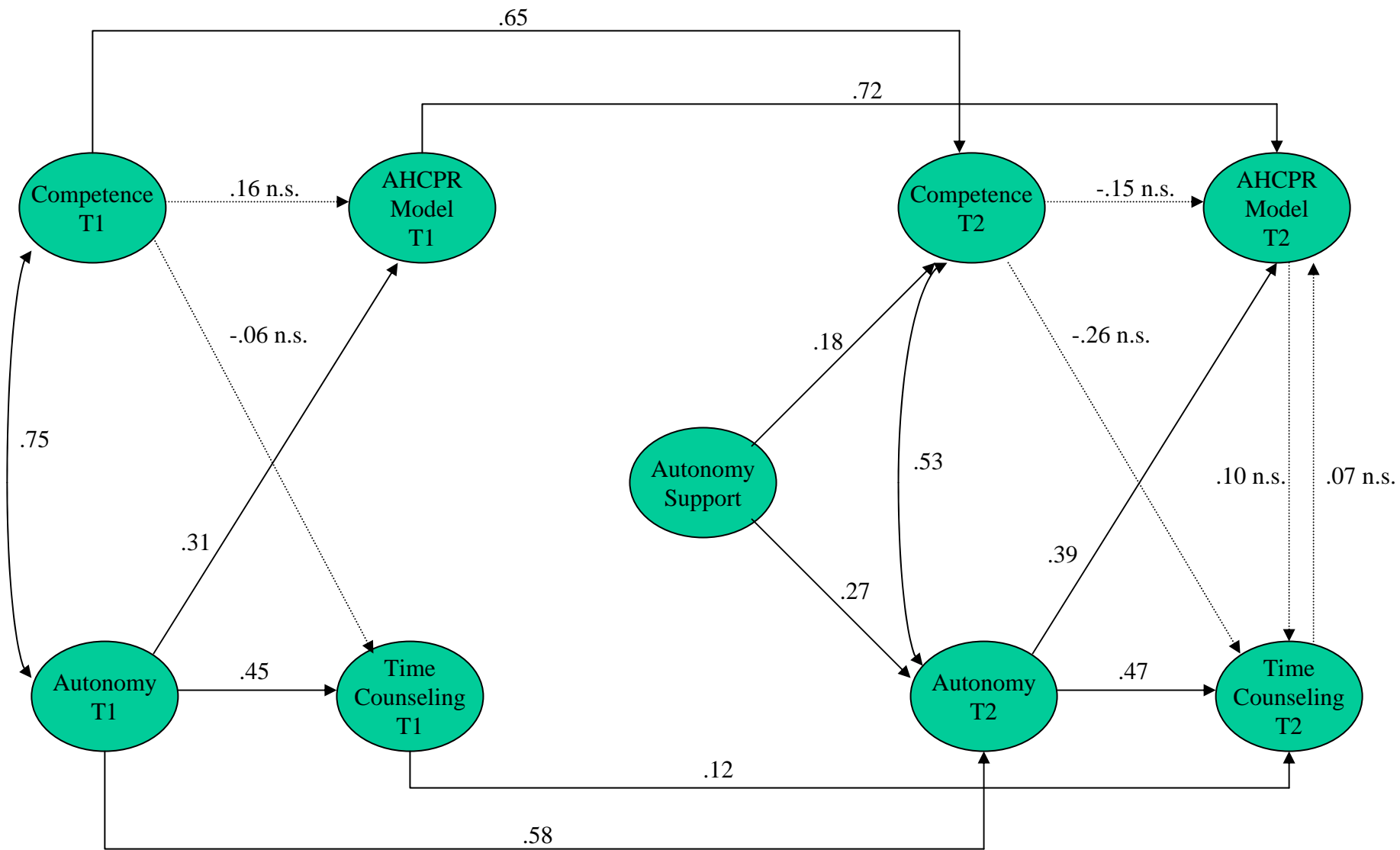
# Results

- Autonomy supportive insurers predicted practitioners autonomy ( $\gamma = .54$ ) and competence ( $\gamma = .48$ ) for counseling
- Autonomy supportive instructors predicted increase in practitioner autonomy ( $\beta = .27$ ) and competence ( $\beta = .18$ ) over time.
- Change in autonomy strongly predicted change in the use of the 4-As ( $\beta = .39$ ) time spent counseling ( $\beta = .47$ ).

Williams, SRNT, 2002



ASKADV = Combined items of the Ask and Advise subscales; ASARR = Combined items of the Assist and Arrange subscales.  
 $\chi^2 (69) = 244.99, p < .01$ ; CFI = .95; IFI = .95, RMSEA = .08; PNFI = .71



$\chi^2 = 657.35, p < .01, RMSEA = .07, CFI = .91, IFI = .91, PNFI = .74$

# Conclusions

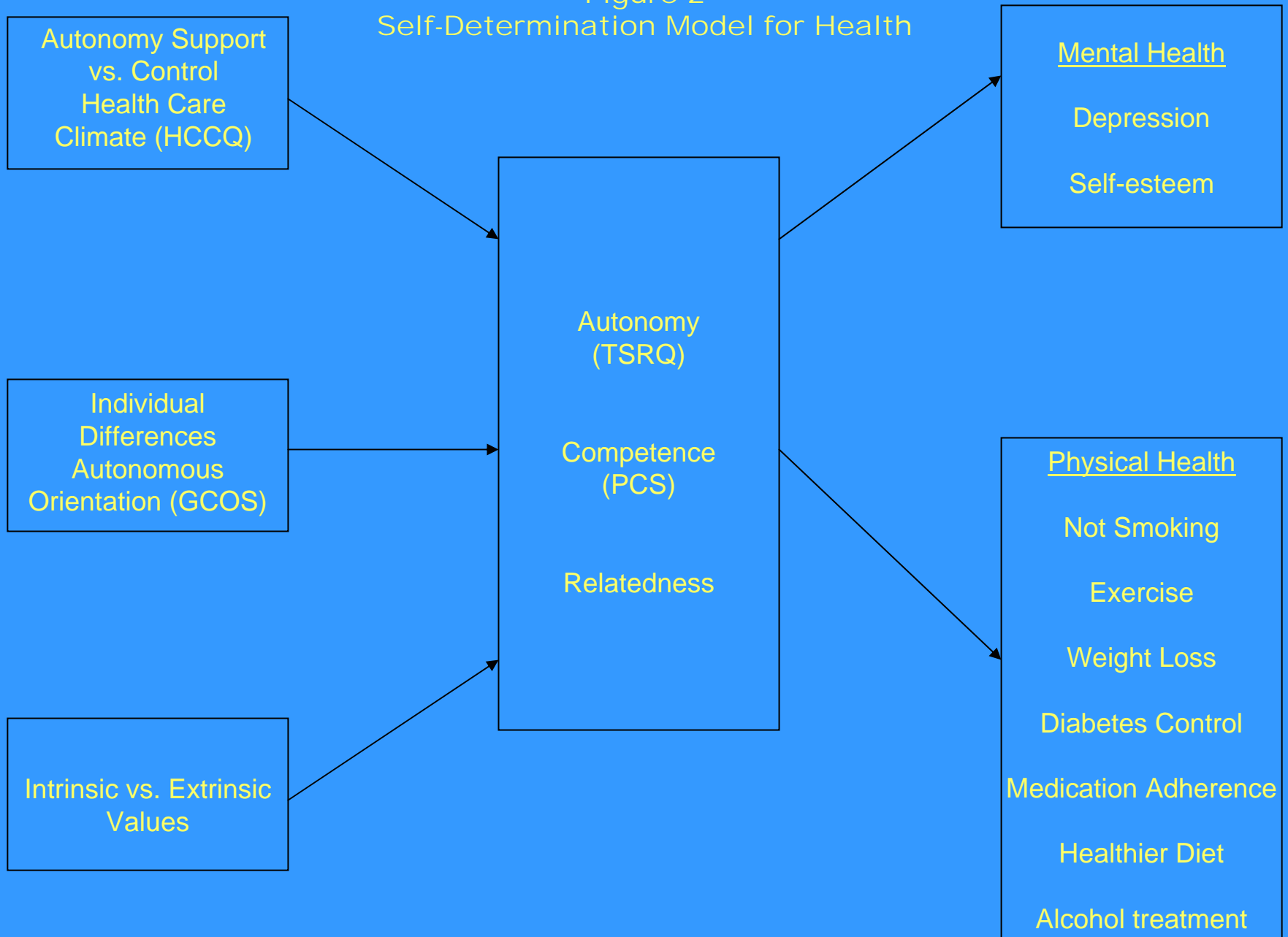
- HCP training for tobacco dependence counseling may need to support practitioner autonomy in order to be maintained over time, and thus narrow the treatment gap.



# Perceived Autonomy and Patient Adherence

- Autonomous motivation is related to:
  - medical students counseling style (CV risk)
  - 2 year weight loss and appointments
  - completion of alcohol depend treatment
  - 30 month continuous abstinence from smoking
  - diabetes self-regulation
  - depressive symptoms, self-esteem
  - ADHERENCE TO MEDICATIONS

Figure 2  
Self-Determination Model for Health



# Perceived Autonomy and Adherence

- Past research with patient adherence to prescribed medications finds the same pattern for patient autonomy and behavior as for clinicians
  - Controlled motivation was not correlated with adherence to medications ( $r=-.10$ ,  $p=ns$ )
  - Autonomous motivation was strongly correlated with adherence ( $r=0.58$ )

Williams, Health Psych, 1998

# Perceived Autonomy and Adherence

- Medical ethics states patients need to be fully volitional about prescribed behaviors and medications before they should be expected to adopt them, but most are not.
  - Braddock (JAMA 1999), found <20% of 3552 audio taped medical decisions met criteria of being fully informed

Braddock, JAMA, 1999; Beauchamp, 1989

# Perceived Autonomy and Adherence

- Adherence is typically defined as:
  - % patient behavior/ doctor's prescription
- SDT and medical ethics suggest the denominator needs to be altered to include patient autonomy:
  - % patient behavior/ prescriptions autonomously agreed upon by pt

# Autonomy and Adherence

- The inclusion of autonomy in adherence may narrow the treatment gap because:
  - Fewer patients will be defined “non adherent” by limiting the denominator to those who are fully autonomous.
  - A greater emphasis will be placed on practitioners to more fully inform patients of risks and benefits
  - Patients and practitioners will be more motivated to adhere

# Summary of Implications of Self-determination Theory and Adherence

- Closing the treatment gap may require policy makers to support practitioner and patient autonomy for relevant health behaviors
- SDT and ethics suggest adherence outcomes need to be defined to include patient autonomy